

**AUDIT, GOVERNANCE AND
STANDARDS COMMITTEE**

2nd February 2017

Work Programme for the calendar year ahead

Statement of Accounts

Copies of the unaudited financial statements and the Annual Governance Statement are sent to all members of the Committee at the same time these are issued to the Council's external auditors at the end of June. An Officer briefing on the statement of accounts is held for all Members in early/mid-September (2017 date to be confirmed but anticipated to be either 5th or 7th September), prior to the Committee's formal consideration of the audited financial statements in late September.

Note: Under the Accounts and Audit Regulations 2015, with effect from 2017/18 unaudited financial statements will need to be published by the end of May and audited financial statements by the end of July.

27th April 2017 meeting

Standards

- Monitoring Officer's Report

Governance

- External Audit – Update Report
- External Audit – Grant Claims Certification Work Report (deferred from February 2017 meeting)
- External Audit – Audit Plan 2016/17
- External Audit – Audit Fee Letter 2017/18
- External Audit – Auditing Standards 2016/17 (Communication with the Audit, Governance and Standards Committee)
- Accounting Standards (Statement of Accounting Policies)
- Internal Audit – Progress Report
- Internal Audit – Final Audit Plan 2017/18

Monitoring

- S11 Action Plan Monitoring
- Corporate Governance and Risk (to include: Governance and Accounts Action Plan (for any accounts and/or governance issues raised by the auditors) and Annual Governance Statement – to each meeting, annual Corporate Risk Register report to April meeting and any required Treasury Management monitoring updates)
- Financial Savings Monitoring Report (for January to March 2017 and to include any relevant Quarterly Budget Monitoring commentary from Portfolio Holder)
- Committee Action List and Work Programme
- Annual Review of the Operation of the Committee (Chair's oral report and the Committee's Procedure Rules (Minute No. 4 of 28th June 2012 meeting refers))
- Calendar of Meetings 2017/18

AUDIT, GOVERNANCE AND STANDARDS COMMITTEE

2nd February 2017

6th July 2017 meeting

Standards

- Monitoring Officer's Report (including memberships of Hearing Sub-Committees if any changes in Audit, Governance and Standards Committee membership)

Governance

- External Audit – Update Report (including oral update on Value for Money Conclusion)
- Internal Audit – Annual Report 2016/17 (including review of effectiveness of Internal Audit – no separate Progress Report to this meeting)

Monitoring

- S11 Action Plan Monitoring
- Corporate Governance and Risk (to include: Governance and Accounts Action Plan (for any accounts and/or governance issues raised by the auditors) and Annual Governance Statement – to each meeting, plus any required Treasury Management monitoring updates)
- Financial Savings Monitoring Report (April to June – subject to timings and to include any relevant Quarterly Budget Monitoring commentary from Portfolio Holder)
- Committee Action List and Work Programme

21st September 2017 meeting

Standards

- Monitoring Officer's Report

Governance

- External Audit – Audit Findings Report 2016/17 (note: external auditors don't usually provide general update report at this meeting)
- Audited Statement of Accounts 2016/17 (including final Annual Governance Statement)
- Internal Audit – Progress Report

Monitoring

- Re-appointment of Lead Risk and Fraud Members on the Committee
- S11 Action Plan Monitoring
- Corporate Governance and Risk (to include: Governance and Accounts Action Plan (for any accounts and/or governance issues raised by the auditors) and Annual Governance Statement – to each meeting, Corporate Risk Register 6-month update report to September meeting and any required Treasury Management monitoring updates)

AUDIT, GOVERNANCE AND STANDARDS COMMITTEE

2nd February 2017

- Financial Savings Monitoring Report (April to June and/or July to September – subject to timings and to include any relevant Quarterly Budget Monitoring commentary from Portfolio Holder)
- Committee Action List and Work Programme

1st February 2018 meeting

Standards

- Monitoring Officer's Report

Governance

- Review of Independent Member Appointment (either to January/February or April 2018 meeting – prior to expiry of current 4-year term of office in July 2018 - Minute No. 22 of 25th September 2014 meeting refers)
- External Audit – Update Report
- External Audit – Grant Claims Certification Work Report
- External Audit – Annual Audit Letter 2016/17
- Treasury Management Strategy, Prudential Indicators and Minimum Revenue Policy Provision 2018/19
- Compliance Team Update
- Internal Audit – Progress Report
- Internal Audit – Draft Audit Plan 2018/19

Monitoring

- S11 Action Plan Monitoring
- Corporate Governance and Risk (to include: Governance and Accounts Action Plan (for any accounts and/or governance issues raised by the auditors) and Annual Governance Statement – to each meeting, plus any required Treasury Management monitoring updates)
- Financial Savings Monitoring Report (subject to timings and to include any relevant Quarterly Budget Monitoring commentary from Portfolio Holder)
- Committee Action List and Work Programme

Later meetings (report details to be added in due course)

- **26th April 2018**
- **July 2018 – date yet to be determined** (General Dispensations Report to go to this meeting as first meeting following the 2018 Borough Council elections – note: there are no Borough elections in 2017)